

# HellerEhrman

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**No. of Pages:** 27 (including cover)  
**Date:** November 17, 2003

39780-1618 (7041)

## Message:

**Re:** U.S. Patent Application No. 09/903,925  
**Title:** SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC  
ACIDS ENCODING THE SAME  
**Filed:** July 11, 2001  
**Our Reference No.:** 39780-1618P2C49

Please see attached.

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THE UNITED STATES PATENT AND TRADEMARK OFFICE  
ACKNOWLEDGMENT AND RECEIPT FOR FILING

Applicant: Ashkenazi, et al. Serial No. 09/903,925  
Filing Date: July 11, 2001 Examiner Fozia M. Hamud  
Title: SECRETED AND TRANSMEMBRANE POLYPEPTIDES  
AND NUCLEIC ACIDS ENCODING THE SAME

- ☒ RCE Transmittal;
- ☒ Preliminary Amendment;
- ☒ Declaration of Dr. Ashkenazi with Exhibit A attached;
- ☒ Petition for 2-month EOT to file;
- ☒ Copy of application entitled "Compositions and Methods for the Treatment of Tumors"; and
- ☒ Check No. 126271 in the amount of \$1,160.00 for two-month extension of time and RCE filing fees.

Attorney Docket No.: 39780-1618P2C49  
Date: September 22, 2003  
GRD/car

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PTO/SB/30 (3/2000)  
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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. §132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995.

See the American Inventors Protection Act of 1999 (AIPA).

Application Number	09/903,925
Filing Date	July 11, 2001
First Named Inventor	Ashkenazi, et al.
Group Art Unit	1647
Examiner Name	Fozia M. Hamud
Attorney Docket Number	39780-1618P2C49

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. §1.114.

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☒ Preliminary Amendment
- ii. ☒ Declaration(s) of Avi Ashkenazi, Ph.D., with Exhibit A;
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other: Copy of patent application entitled "Compositions and Methods for the Treatment of Tumor".

## 2. Miscellaneous

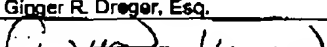
- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(f) required)
- b. ☐ Other

## 3. Fees

The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

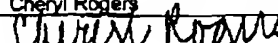
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments to Deposit Account No. 08-1641 (Docket No. 08-1641)
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) (Filing fee = \$750.00)
- ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) (2 month EOT = \$410.00)
- iii. ☐ Other
- b. ☒ Check No. 126271 in the amount of \$1,160.00 enclosed.
- c. ☐ Payment by credit card (Form PTO-2036 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Ginger R. Dreger, Esq.	Registration No. (Attorney/Agent)	33,055
Signature		Date	September 22, 2003

## CERTIFICATE OF MAILING (37CFR 1.10 (a))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited on September 22, 2003 with the U.S. Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. 1.10 as Express Mail No. EV34872589BUS addressed to: Mail Stop: Box RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name (Print/Type)	Cheryl Rogers
Signature	
Date	September 22, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES and Completed Forms to the following address: Mail Stop \_\_\_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.